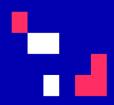
University of Cyprus

MAI649: PRINCIPLES OF ONTOLOGICAL DATABASES

Conjunctive Queries

Andreas Pieris

Spring 2022-2023







Learning Outcomes

Syntax and semantics of conjunctive queries (a core fragment of relational calculus)

Analyze the complexity of evaluating conjunctive queries

• Analyze the complexity of static analysis of conjunctive queries

Minimization of conjunctive queries

So far

- The main languages for querying relational databases are:
 - Relational Algebra (RA)
 - Domain Relational Calcuclus (DRC)
 - Tuple Relational Calculus (TRC)

$$RA = DRC = TRC$$

(under the active domain semantics)

- Evaluation is decidable, and highly tractable in data complexity
 - Foundations of the database industry
 - The core of SQL is equally expressive to RA/DRC/TRC

- Satisfiability, equivalence and containment are undecidable
 - Perfect query optimization is impossible

A Crucial Question

Are there interesting sublanguages of **RA/DRC/TRC** for which perfect query optimization is possible?

Conjunctive Queries

- = $\{\sigma, \pi, \bowtie\}$ -fragment of relational algebra
- = relational calculus without ¬, ∀, ∨
- simple SELECT-FROM-WHERE SQL queries(only AND and equality in the WHERE clause)

Syntax of Conjunctive Queries (CQ)

$$Q(\mathbf{x}) := \exists \mathbf{y} (R_1(\mathbf{v_1}) \land \cdots \land R_m(\mathbf{v_m}))$$

- R₁,...,R_m are relations
- **x**, **y**, **v**₁,...,**v**_m are tuples of variables
- each variable mentioned in v_i appears either in x or y
- the variables in x are free called distinguished or output variables

It is very convenient to see conjunctive queries as rule-based queries of the form

$$Q(\mathbf{x}) := R_1(\mathbf{v_1}), ..., R_m(\mathbf{v_m})$$

this is called the body of Q that can be seen as a set of atoms

List all the airlines

Flight	origin	destination	airline
	VIE	LHR	BA
	LHR	EDI	BA
	LGW	GLA	U2
	LCA	VIE	OS



{BA, U2, OS}

Airport	code	city
	VIE	Vienna
	LHR	London
	LGW	London
	LCA	Larnaca
	GLA	Glasgow
	EDI	Edinburgh

 $\pi_{\text{airline}} \ \, \text{Flight}$

Q(z) :- Flight(x,y,z)

{z | ∃x∃y Flight(x,y,z)}

List the codes of the airports in London

Flight	origin	destination	airline
	VIE	LHR	ВА
	LHR	EDI	ВА
	LGW	GLA	U2
	LCA	VIE	OS

Airport	code	city
	VIE	Vienna
	LHR	London
	LGW	London
	LCA	Larnaca
	GLA	Glasgow
	EDI	Edinburgh

{LHR, LGW}

 π_{code} ($\sigma_{city='London'}$ Airport)

 $\{x \mid \exists y \ Airport(x,y) \land y = London\}$

Q(x) :- Airport(x,y), y = London

List the codes of the airports in London

Flight	origin	destination	airline
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 π_{code} ($\sigma_{city='London'}$ Airport)

 $\{x \mid \exists y \ Airport(x,y) \land y = London\}$

Q(x) :- Airport(x,London)

List the airlines that fly directly from London to Glasgow

Flight	origin	destination	airline
	VIE	LHR	ВА
	LHR	EDI	ВА
	LGW	GLA	U2
	LCA	VIE	OS



{U2}

Airport	code	city
	VIE	Vienna
	LHR	London
	LGW	London
	LCA	Larnaca
	GLA	Glasgow
	EDI	Edinburgh

 π_{airline} ((Flight $\bowtie_{\text{origin=code}}$ ($\sigma_{\text{city='London'}}$ Airport)) $\bowtie_{\text{destination=code}}$ ($\sigma_{\text{city='Glasgow'}}$ Airport))

 $\{z \mid \exists x \exists y \exists u \exists v \; Airport(x,u) \land u = London \land Airport(y,v) \land v = Glasgow \land \; Flight(x,y,z)\}$

List the airlines that fly directly from London to Glasgow

Flight	origin	destination	airline
	VIE	LHR	ВА
	LHR	EDI	ВА
	LGW	GLA	U2
	LCA	VIE	OS



{U2}

Airport	code	city
	VIE	Vienna
	LHR	London
	LGW	London
	LCA	Larnaca
	GLA	Glasgow
	EDI	Edinburgh

Q(z) :- Airport(x,London), Airport(y,Glasgow), Flight(x,y,z)

Pattern Matching Problem

List the airlines that fly directly from London to Glasgow

```
Airport(VIE,Vienna),

Flight(VIE,LHR,BA), Airport(LHR,London),

Flight(LHR,EDI,BA), Airport(LGW,London),

Flight(LGW,GLA,U2), Airport(LCA,Larnaca),

Flight(LCA,VIE,OS), Airport(GLA,Glasgow),

Airport(EDI,Edinburgh)
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Q(z) :- Airport(x,London), Airport(y,Glasgow), Flight(x,y,z)

Pattern Matching Problem

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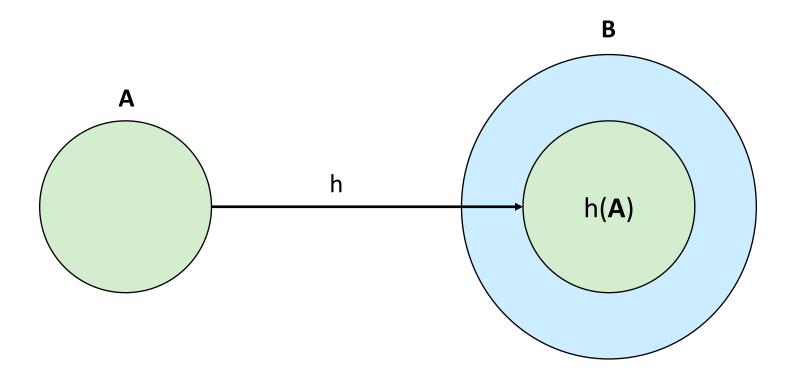
Flight(LCA,VIE,OS), Airport(GLA,Glasgow),

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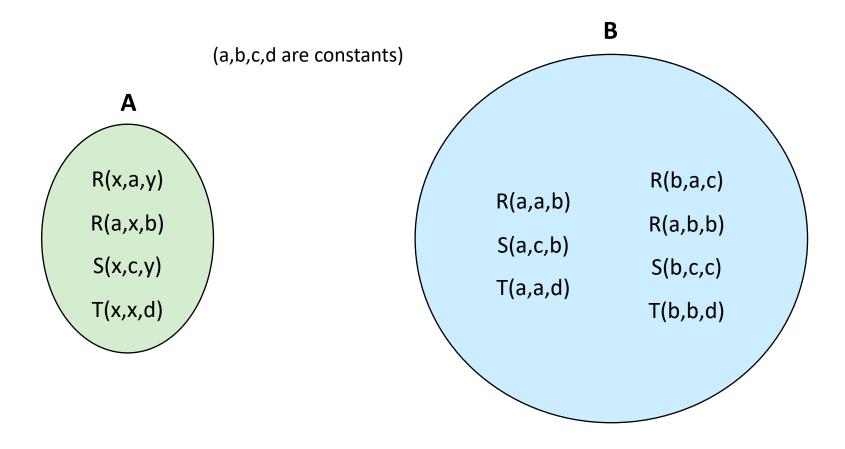
Q(z) :- Airport(x,London), Airport(y,Glasgow), Flight(x,y,z)

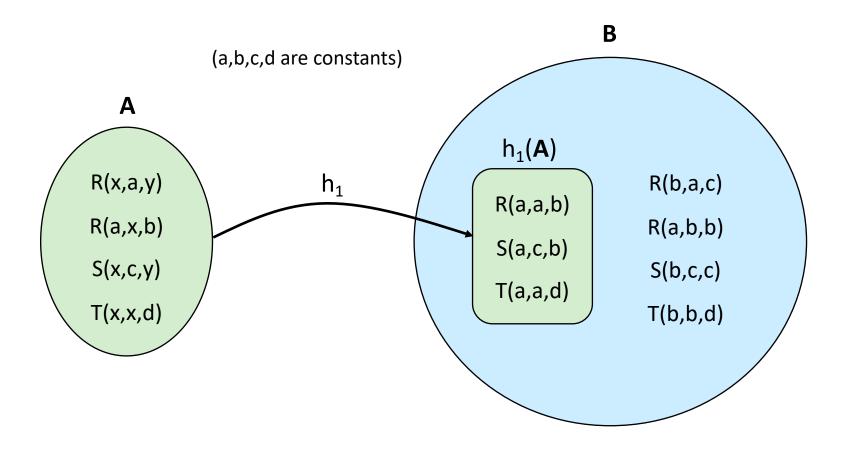
- Pattern matching properly formalized via the key notion of homomorphism
- A substitution from a set of terms S to a set of terms T is a function h : S → T, i.e., h
 is a set of mappings of the form s → t, where s ∈ S and t ∈ T
- A homomorphism from a set of atoms A to a set of atoms B is a substitution
 h: terms(A) → terms(B) such that:
 - 1. t is a constant value \Rightarrow h(t) = t
 - 2. $R(t_1,...,t_k) \in \mathbf{A} \implies h(R(t_1,...,t_k)) = R(h(t_1),...,h(t_k)) \in \mathbf{B}$

 $(terms(A) = \{t \mid t \text{ is a variable or a constant value that occurs in } A\})$

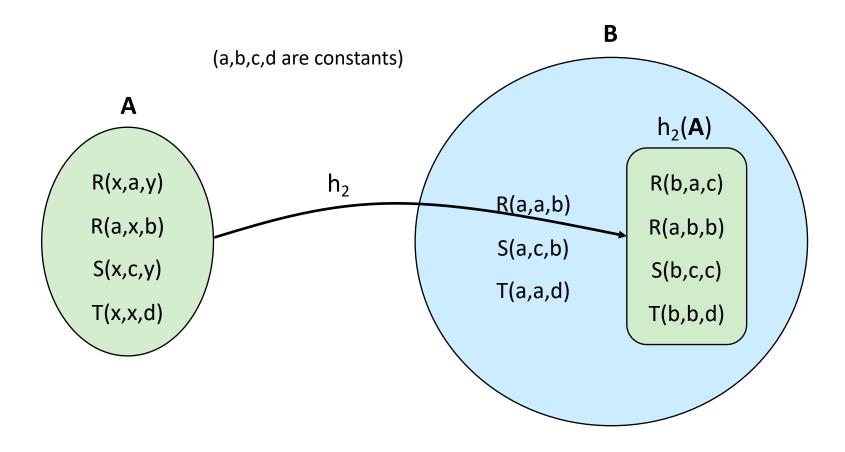


 $h: terms(A) \rightarrow terms(B)$ that is the identity on constants





$$h_1 = \{a \mapsto a, b \mapsto b, c \mapsto c, d \mapsto d, x \mapsto a, y \mapsto b\}$$



$$h_2 = \{a \mapsto a, b \mapsto b, c \mapsto c, d \mapsto d, x \mapsto b, y \mapsto c\}$$

$$S_1 = \{P(x_1,y_1), P(y_1,z_1), P(z_1,w_1)\}$$

$$S_2 = \{P(x_2,y_2), P(y_2,z_2), P(z_2,x_2)\}\$$
 $S_3 = \{P(x_3,y_3), P(y_3,x_3)\}\$

$$S_4 = \{P(x_4, y_4), P(y_4, x_4), P(y_4, y_4)\}$$

$$S_5 = \{P(x_5, x_5)\}$$

$$S_{1} = \{P(x_{1},y_{1}), P(y_{1},z_{1}), P(z_{1},w_{1})\}$$

$$\{x_{1} \mapsto x_{2}, y_{1} \mapsto y_{2}, z_{1} \mapsto z_{2}, w_{1} \mapsto x_{2}\}$$

$$\{x_{1} \mapsto x_{3}, y_{1} \mapsto y_{3}, z_{1} \mapsto x_{3}, w_{1} \mapsto y_{3}\}$$

$$S_{2} = \{P(x_{2},y_{2}), P(y_{2},z_{2}), P(z_{2},x_{2})\}$$

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$$\{x_{2} \mapsto y_{4}, y_{2} \mapsto x_{4}, z_{2} \mapsto y_{4}\}$$

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$$\{x_{5} \mapsto y_{4}\}$$

$$S_{5} = \{P(x_{5},x_{5})\}$$

Homomorphisms Compose

$$S_{1} = \{P(x_{1},y_{1}), P(y_{1},z_{1}), P(z_{1},w_{1})\}$$

$$\{x_{1} \mapsto x_{2}, y_{1} \mapsto y_{2}, z_{1} \mapsto z_{2}, w_{1} \mapsto x_{2}\}$$

$$\{x_{1} \mapsto x_{2}, y_{2}, P(y_{2},z_{2}), P(z_{2},x_{2})\}$$

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Semantics of Conjunctive Queries

• A match of a conjunctive query $Q(x_1,...,x_k)$:- body in a database D is a homomorphism h from the set of atoms body to the set of atoms D

• The answer to $Q(x_1,...,x_k)$:- body over D is the set of k-tuples

 $Q(D) := \{(h(x_1),...,h(x_k)) \mid h \text{ is a match of } Q \text{ in } D\}$

The answer consists of the witnesses for the distinguished variables of Q

Pattern Matching Problem

List the airlines that fly directly from London to Glasgow

```
Airport(VIE,Vienna),

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Flight(LHR,EDI,BA), Airport(LGW,London),

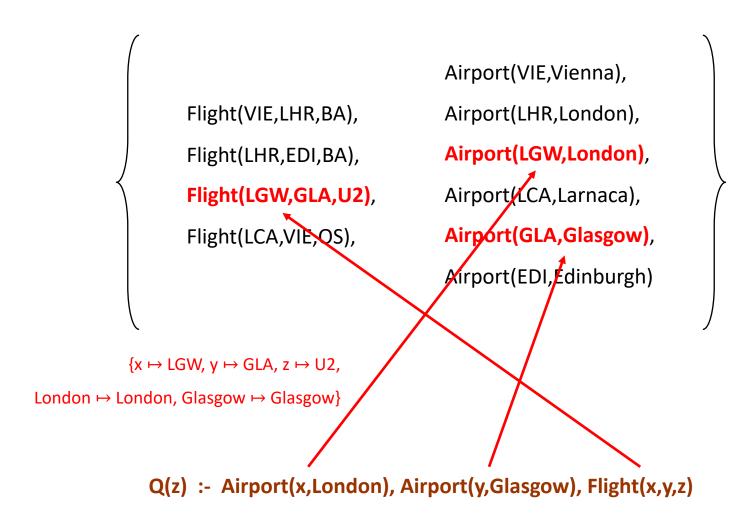
Flight(LGW,GLA,U2), Airport(LCA,Larnaca),

Flight(LCA,VIE,OS), Airport(GLA,Glasgow),

Airport(EDI,Edinburgh)
```

Pattern Matching Problem

List the airlines that fly directly from London to Glasgow



Complexity of CQ

Theorem: It holds that:

- BQE(CQ) is NP-complete (combined complexity)
- BQE[Q](CQ) is in LOGSPACE, for a fixed query Q ∈ CQ (data complexity)

Proof:

```
(NP-membership) Consider a database D, and a Boolean CQ Q :- body Guess a substitution h : terms(body) \rightarrow terms(D) Verify that h is a match of Q in D, i.e., h(body) \subseteq D
```

(NP-hardness) Reduction from 3-colorability

NP-hardness

(NP-hardness) Reduction from 3-colorability

3COL

Input: an undirected graph **G** = (V,E)

Question: is there a function $c: V \to \{R,G,B\}$ such that $(v,u) \in E \Rightarrow c(v) \neq c(u)$?

Lemma: G is 3-colorable iff **G** can be mapped to K_3 , i.e., **G** $\xrightarrow{\text{hom}}$

therefore, **G** is 3-colorable iff there is a match of Q_G in D = {E(a,b),E(b,c),E(c,d)}

the Boolean CQ that represents **G**

Complexity of CQ

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```

(NP-hardness) Reduction from 3-colorability

(LOGSPACE-membership) Inherited from BQE[Q](DRC)

What About Optimization of CQs?

SAT(CQ)

Input: a query **Q** ∈ **CQ**

Question: is there a (finite) database D such that Q(D) is non-empty?

EQUIV(CQ)

Input: two queries $Q_1 \in CQ$ and $Q_2 \in CQ$

Question: $Q_1 \equiv Q_2$? or $Q_1(D) = Q_2(D)$ for every (finite) database D?

CONT(CQ)

Input: two queries $Q_1 \in CQ$ and $Q_2 \in CQ$

Question: $Q_1 \subseteq Q_2$? or $Q_1(D) \subseteq Q_2(D)$ for every (finite) database D?

Canonical Database

Convert a conjunctive query Q into a database D[Q] - the canonical database of Q

• Given a conjunctive query of the form Q(x):- body, D[Q] is obtained from body by replacing each variable x with a new constant c(x) = x

• E.g., given Q(x,y) := R(x,y), P(y,z,w), R(z,x), then $D[Q] = \{R(x,y), P(y,z,w), R(z,x)\}$

Note: The mapping c : {variables in body} → {new constants} is a bijection, where
 c(body) = D[Q] and c⁻¹(D[Q]) = body

Satisfiability of CQs

SAT(CQ)

Input: a query **Q** ∈ **CQ**

Question: is there a (finite) database D such that Q(D) is non-empty?

Theorem: A query $Q \in CQ$ is always satisfiable - SAT(CQ) \in O(1)-time

Proof: Due to its canonical database - Q(D[Q]) is trivially non-empty

Equivalence and Containment of CQs

EQUIV(CQ)

Input: two queries $Q_1 \in CQ$ and $Q_2 \in CQ$

Question: $Q_1 \equiv Q_2$? or $Q_1(D) = Q_2(D)$ for every (finite) database D?

CONT(CQ)

Input: two queries $Q_1 \in CQ$ and $Q_2 \in CQ$

Question: $Q_1 \subseteq Q_2$? or $Q_1(D) \subseteq Q_2(D)$ for every (finite) database D?

$$Q_1 \equiv Q_2$$
 iff $Q_1 \subseteq Q_2$ and $Q_2 \subseteq Q_1$
 $Q_1 \subseteq Q_2$ iff $Q_1 \equiv (Q_1 \land Q_2)$

...thus, we can safely focus on CONT(CQ)

Homomorphism Theorem

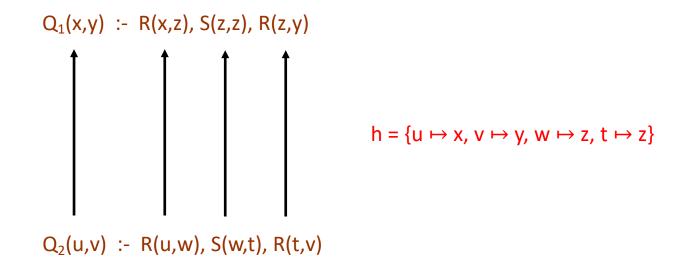
A query homomorphism from $Q_1(x_1,...,x_k)$:- body₁ to $Q_2(y_1,...,y_k)$:- body₂ is a substitution h: terms(body₁) \rightarrow terms(body₂) such that:

- 1. h is a homomorphism from body₁ to body₂
- 2. $(h(x_1),...,h(x_k)) = (y_1,...,y_k)$

Homomorphism Theorem: Let Q_1 and Q_2 be conjunctive queries. It holds that:

 $Q_1 \subseteq Q_2$ iff there exists a query homomorphism from Q_2 to Q_1

Homomorphism Theorem: Example



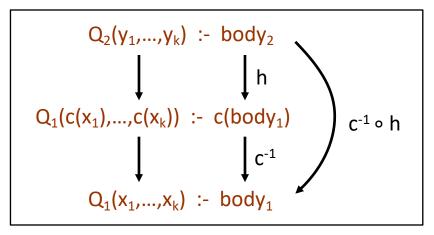
- h is a query homomorphism from Q_2 to $Q_1 \Rightarrow Q_1 \subseteq Q_2$
- But, there is no homomorphism from Q₁ to Q₂ ⇒ Q₁ ⊂ Q₂

Homomorphism Theorem: Proof

Assume that $Q_1(x_1,...,x_k)$:- body₁ and $Q_2(y_1,...,y_k)$:- body₂

 (\Rightarrow) $Q_1 \subseteq Q_2 \Rightarrow$ there exists a query homomorphism from Q_2 to Q_1

- Clearly, $(c(x_1),...,c(x_k)) \in Q_1(D[Q_1])$ recall that $D[Q_1] = c(body_1)$
- Since $Q_1 \subseteq Q_2$, we conclude that $(c(x_1),...,c(x_k)) \in Q_2(D[Q_1])$
- Therefore, there exists a homomorphism h such that $h(body_2) \subseteq D[Q_1] = c(body_1)$ and $h((y_1,...,y_k)) = (c(x_1),...,c(x_k))$
- By construction, $c^{-1}(c(body_1)) = body_1$ and $c^{-1}((c(x_1),...,c(x_k))) = (x_1,...,x_k)$
- Therefore, c⁻¹ o h is a
 query homomorphism from Q₂ to Q₁

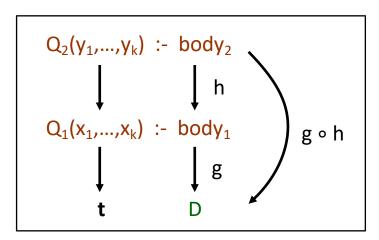


Homomorphism Theorem: Proof

```
Assume that Q_1(x_1,...,x_k):- body<sub>1</sub> and Q_2(y_1,...,y_k):- body<sub>2</sub>
```

 (\Leftarrow) $Q_1 \subseteq Q_2 \Leftarrow$ there exists a query homomorphism from Q_2 to Q_1

- Consider a database D, and a tuple t such that t ∈ Q₁(D)
- We need to show that $\mathbf{t} \in \mathbb{Q}_2(D)$
- Clearly, there exists a homomorphism g such that $g(body_1) \subseteq D$ and $g((x_1,...,x_k)) = \mathbf{t}$
- By hypothesis, there exists a query homomorphism h from Q₂ to Q₁
- Therefore, g(h(body₂)) ⊆ D and
 g(h((y₁,...,y_k))) = t, which implies that t ∈ Q₂(D)



Existence of a Query Homomorphism

Theorem: Let Q_1 and Q_2 be conjunctive queries. The problem of deciding whether there exists a query homomorphism from Q_2 to Q_1 is NP-complete

Proof:

(NP-membership) Guess a substitution, and verify that is a query homomorphism (NP-hardness) Straightforward reduction from BQE(CQ)

By applying the homomorphism theorem we get that:

Corollary: EQUIV(CQ) and CONT(CQ) are NP-complete

Recap $L \in \{RA,DRC,TRC\}$ SAT(L) QOT(L) EQUIV(L) (combined) QOT(CQ) BQE(L) (combined) BQE(CQ) (combined) (combined) CONT(L) EQUIV(CQ) QOT(L) (data) BQE(L) CONT(CQ) (data)

NP

UNDECIDABLE

PSPACE

SAT(CQ)

O(1)-time

LOGSPACE

Minimizing Conjunctive Queries

Goal: minimize the number of joins in a query

- A conjunctive query Q_1 is minimal if there is no conjunctive query Q_2 such that:
 - 1. $Q_1 \equiv Q_2$
 - 2. Q_2 has fewer atoms than Q_1

 The task of CQ minimization is, given a conjunctive query Q, to compute a minimal one that is equivalent to Q

Minimization by Deletion

By exploiting the homomorphism theorem we can show the following:

```
Theorem: Consider a conjunctive query Q_1(x_1,...,x_k) := body_1.

If Q_1 is equivalent to a conjunctive query Q_2(y_1,...,y_k) := body_2 where |body_2| < |body_1|, then Q_1 is equivalent to a query Q_3(x_1,...,x_k) := body_3 such that body_3 \subseteq body_1
```

 $\downarrow \downarrow$

The above theorem says that to minimize a conjunctive query $Q_1(x_1,...,x_k)$:- body we simply need to remove some atoms from body

Minimization Procedure

```
Minimization(Q(x_1,...,x_k):- body)

While there is an atom \alpha \in \text{body such that the variables } x_1,...,x_k \text{ appear in body } \setminus \{\alpha\}, \text{ and there is a query homomorphism from } Q(x_1,...,x_k):- body to Q(x_1,...,x_k):- body \ \{\alpha\} do
```

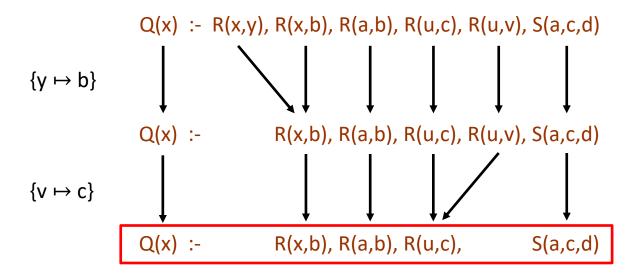
Return $Q(x_1,...,x_k)$:- body

body := body $\setminus \{\alpha\}$

Note: if there is a query homomorphism from $Q(x_1,...,x_k)$:- body to $Q(x_1,...,x_k)$:- body $\setminus \{\alpha\}$, then the two queries are equivalent since there is trivially a query homomorphism from the latter to the former query

Minimization Procedure: Example

(a,b,c,d are constants)



minimal query

Note: the mapping $x \mapsto a$ is not valid since x is a distinguished variable

Uniqueness of Minimal Queries

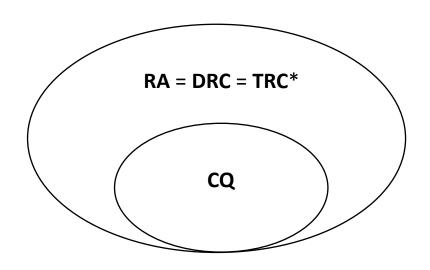
Natural question: does the order in which we remove atoms from the body of the input conjunctive query matter?

Theorem: Consider a conjunctive query Q. Let Q_1 and Q_2 be minimal conjunctive queries such that $Q_1 \equiv Q$ and $Q_2 \equiv Q$. Then, Q_1 and Q_2 are isomorphic (i.e., they are the same up to variable renaming)

Therefore, given a conjunctive query Q, the result of Minimization(Q) is unique (up to variable renaming) and is called the core of Q

Recap

- The main relational query languages RA/DRC/TRC
 - Evaluation is decidable foundations of the database industry
 - Perfect query optimization is impossible
- Conjunctive queries an important query language
 - All the relevant algorithmic problems are decidable
 - Query minimization



*under the active domain semantics

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Thank You!

Andreas Pieris

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